Mary Frances (Frances) Martin-Falanga

Executive Director, Financial Planning

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This is a supplement to the Financial Engines Advisors, L.L.C. brochure. This supplement describes advisory services provided by Financial Engines Advisors L.L.C. also referred to as Edelman Financial Engines. Please contact us at (833) 752-6333 if you have any questions about our brochure or this supplement.

Educational Background and Experience

Year of Birth: 1963

Formal Education: Bachelor of Arts in Political Science, Randolph-Macon College
Attended George Mason University
Attended Graduate School, USDA

Business Background: Frances has been with Edelman Financial Engines since 2007.

Employment History:

- **2009 - Present:** Executive Director, Financial Planning at Edelman Financial Engines
- **2007 - 2009:** Direct Advisor for Edelman Financial Advisors at Edelman Financial Engines
- **2007 - 2007:** Business Strategies Consultant at Business Strategies
- **2006 - 2007:** Vice President and Financial Advisor at Community Bankers Securities
- **2003 - 2006:** Financial Advisor at Legg Mason Wood Walker/Smith Barney

Professional Designation(s): Accredited Asset Management Specialist™, Certified in Blockchain and Digital Assets℠, Registered Financial Consultant®

Disciplinary Information

Like all Registered Investment Advisors, we are required to disclose all material facts regarding any legal or disciplinary events that could materially influence your evaluation of your advisor.

Frances has no legal or disciplinary events to report.

Other Business-Related Activities

There is no other business activity to report.

Additional Compensation

Frances may receive additional compensation from the Firm for providing advisory services in limited circumstances, such as when new accounts are opened due to referrals from current clients or for increasing certain types of clients who hold accounts at the firm. Frances receives no economic benefit for providing advisory services from any third parties.

Supervision

Frances is supervised by Amin Dabit, Senior Vice President, Traditional Wealth Planning and his team, who can be reached at (720) 954-1967. Supervision is conducted in a variety of ways, including face to face meetings, telephone calls and the review of activity reports.

Important Information About the Accredited Asset Management Specialist™, AAMS™ Designation: The AAMS™ provides the advisor with a logical progression of topics, enabling them to think in terms of clients' total financial situations, not just their investments. At the conclusion of the studies, the candidate's ability to identify opportunities and employ strategies is enhanced not only with regard to investments, but also related to planning for insurance, tax, retirement, and estate issues. There is no prerequisite. The candidate must complete a self-study course consisting of 12 modules, requiring 100-120 hours. The candidate must also pass a final exam (online, closed-book, proctored). The candidate is required to complete 16 hours of continuing education every two years.

Important Information About the Certified in Blockchain and Digital Assets℠ (CBDA℠) Designation: The Certified in Blockchain and Digital Assets℠ (CBDA℠) designation is an online self-study program that provides financial professionals with essential knowledge and understanding of blockchain and digital assets, enabling them to advise clients on this asset class. The CBDA℠ designation is offered through the Digital Assets Council of Financial Professionals and candidates must achieve a score of 70% or higher on all modules to pass the designation program. After one year, designation holders are required to take an Update Course and pay a renewal fee to be able to continue using the CBDA℠ Designation.

Important Information About the Registered Financial Consultant (RFC®) Designation: The RFC® is a professional designation awarded by the International Association of Registered Financial Consultants (IARFC) to those financial advisors who meet high standards of education, experience and integrity. Candidates must meet the following requirements: (1) undergraduate or graduate degree in business, finance, economics or a related field, or have earned one of the following designations: AAMS, CFA, CFP, ChFC, CLU, CPA, EA, JD, LUTCF; (2) Series 65, Series 6 & 63, Series 7 & 63 or Series 7 & 66 securities licenses and a life insurance license; and (3) four years of full-time experience as a financial planning practitioner in the field of financial planning or financial services. In addition, candidates must complete 40 hours of continuing education per year in personal finance and professional practice management and four hours of ethics every two years.