



## **Douglas (Doug) R. Basch**

Director, Financial Planning

1 Tower Lane  
Suite 1950  
Oakbrook Terrace, IL 60181  
(630) 481-5302

This is a supplement to the Financial Engines Advisors, L.L.C. brochure. This supplement describes advisory services provided by Financial Engines Advisors L.L.C. also referred to as Edelman Financial Engines. Please contact us at (833) 752-6333 if you have any questions about our brochure or this supplement.

### **Educational Background and Experience**

**Year of Birth:** 1969

**Formal Education:** Bachelor of Arts in Financial Administration, Michigan State University

**Business Background:** Doug has been with Edelman Financial Engines since 2004.

**Employment History:**

- **2016 - Present:** Director, Financial Planning at Edelman Financial Engines
- **2015 - 2016:** Senior Vice President-Investments at Financial Engines Advisor Center, LLC
- **2004 - 2015:** Managing Partner and Senior Investment Advisor at TMFS Chicago, Inc

**Professional Designation(s):** Chartered Mutual Fund Counselor™

### **Disciplinary Information**

Like all Registered Investment Advisors, we are required to disclose all material facts regarding any legal or disciplinary events that could materially influence your evaluation of your advisor.

Doug has no legal or disciplinary events to report.

### **Other Business-Related Activities**

There is no other business activity to report.

## Additional Compensation

Doug may receive additional compensation from the Firm for providing advisory services in limited circumstances, such as when new accounts are opened due to referrals from current clients or for increasing certain types of clients who hold accounts at the firm. Doug receives no economic benefit for providing advisory services from any third parties.

## Supervision

Doug is supervised by Amin Dabit, Senior Vice President, Traditional Wealth Planning and his team, who can be reached at (720) 954-1967. Supervision is conducted in a variety of ways, including face to face meetings, telephone calls and the review of activity reports.

Important Information About the Chartered Mutual Fund Counselor™, CMFC™ Designation: The CMFC™ provides candidates with a thorough knowledge of mutual funds and their various uses as investment vehicles. The case studies used throughout the course—presenting client scenarios and pointing out opportunities for candidates to make the most of these popular investments—provide insight into effective use of these investment vehicles. There is no prerequisite or experience required for this designation. Candidates must pass a final designation exam which is online, closed-book and proctored. In addition, a Chartered Mutual Fund Counselor™ must complete 16 hours of continuing education every two years.