



## Christopher (Chris) Glenn Sparks

Director, Financial Planning

100 East Business Way  
Summit Woods I Building, Suite 360  
Cincinnati, OH 45241  
(513) 354-3894

This is a supplement to the Financial Engines Advisors, L.L.C. brochure. This supplement describes advisory services provided by Financial Engines Advisors L.L.C. also referred to as Edelman Financial Engines. Please contact us at (833) 752-6333 if you have any questions about our brochure or this supplement.

### Educational Background and Experience

**Year of Birth:** 1966

**Formal Education:** Bachelor of Arts in Economics, Cornell University  
Master of Science in Business Administration-Tax, University of Cincinnati

**Business Background:** Chris has been with Edelman Financial Engines since 2020.

#### Employment History:

- **2020 - Present:** Director, Financial Planning at Edelman Financial Engines
- **2019 - 2020:** Wealth Strategist at Arvest Wealth Management
- **2012 - 2019:** Wealth Management Trust Admin Manager at US Bank
- **2011 - 2012:** Financial Advisor at First Financial Bank
- **2009 - 2011:** Financial Advisor Associate at JP Morgan Chase & Co
- **2006 - 2009:** Investment Representative at Edward Jones

**Professional Designation(s):** CERTIFIED FINANCIAL PLANNER®, Chartered Financial Consultant®, Chartered Retirement Planning Counselor™, Chartered Life Underwriter®

### Disciplinary Information

Like all Registered Investment Advisors, we are required to disclose all material facts regarding any legal or disciplinary events that could materially influence your evaluation of your advisor.

Chris has no legal or disciplinary events to report.

### Other Business-Related Activities

There is no other business activity to report.

## Additional Compensation

Chris may receive additional compensation from the Firm for providing advisory services in limited circumstances, such as when new accounts are opened due to referrals from current clients or for increasing certain types of clients who hold accounts at the firm. Chris receives no economic benefit for providing advisory services from any third parties.

## Supervision

Chris is supervised by Amin Dabit, Senior Vice President, Traditional Wealth Planning and his team, who can be reached at (720) 954-1967. Supervision is conducted in a variety of ways, including face to face meetings, telephone calls and the review of activity reports.

Important Information About the CERTIFIED FINANCIAL PLANNER® (CFP®) Designation: Those with the CFP® certification have demonstrated competency in all areas of finance related to financial planning. Candidates for the CFP® mark must pass a certification exam administered by the Certified Financial Planner Board of Standards, Inc. that focuses on more than 100 topics of concern to the financial planning field. In addition to passing the CFP® exam, candidates must also complete qualifying work experience and agree to the CFP Board's Standards and Policies, which include CFP® Certification Requirements, Code of Ethics and Standards of Conduct, Fitness Standards for Candidates and Professionals Eligible for Reinstatement, Procedural Rules, Guide to Use of the CFP® Certification Marks, and Privacy Policy. Finally, certified CFP® professionals must complete 30 hours of continuing education every two years. Certified Financial Planner Board of Standards Inc. owns the marks CFP® certification and CERTIFIED FINANCIAL PLANNER® certification in the U.S., which it awards to individuals who successfully complete CFP Board's initial and ongoing certification requirements.

Important Information About the Chartered Financial Consultant® (ChFC®) Designation: The ChFC® program prepares candidates to meet the advanced financial planning needs of individuals, professionals and small business owners. Candidates gain a sustainable advantage in this competitive field with in-depth coverage of the key financial planning disciplines, including insurance, income taxation, retirement planning, investments and estate planning. Candidates are required to have three years of full-time business experience within the five years preceding the awarding of the designation and pass a final proctored exam for each course. In addition, the Charter Financial Consultant® must complete 30 hours of continuing education every two years.

Important Information About the Chartered Retirement Planning Counselor™, CRPC™ Designation: The CRPC™ program is issued by the College for Financial Planning and focuses on a course of study encompassing pre- and post-retirement needs and issues related to asset management and estate planning. Although the CRPC™ program requires no prerequisites, Candidates must complete a final designation exam that is online, closed-book and proctored. Additionally, Candidates must complete 16 hours of continuing education every two years.

Important Information About the Chartered Life Underwriter® (CLU®) Designation: The CLU® designation provides candidates with in-depth knowledge on the insurance needs of individuals, business owners and professional clients. Candidates are required to have three years of full-time business experience within the five years preceding the awarding of the designation and successfully pass a final proctored exam for each course. In addition, a Chartered Life Underwriter® must complete 30 hours of continuing education every two years.