



Christopher (Chris) Carl Caposey

Director, Financial Planning

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This is a supplement to the Financial Engines Advisors, L.L.C. brochure. This supplement describes advisory services provided by Financial Engines Advisors L.L.C. also referred to as Edelman Financial Engines. Please contact us at (833) 752-6333 if you have any questions about our brochure or this supplement.

Educational Background and Experience

Year of Birth: 1977

Formal Education: Studied Business Management, University of Phoenix

Business Background: Chris has been with Edelman Financial Engines since 2017.

Employment History:

- **2017 - Present:** Director, Financial Planning at Edelman Financial Engines
- **2014 - 2017:** Registered Representative at The Vanguard Group, Inc
- **2013 - 2014:** Registered Representative at Charles Schwab & Co, Inc
- **2012 - 2013:** Registered Personal Banker at Wells Fargo Advisors, LLC
- **2010 - 2012:** Registered Personal Banker at J.P. Morgan Chase

Disciplinary Information

Like all Registered Investment Advisors, we are required to disclose all material facts regarding any legal or disciplinary events that could materially influence your evaluation of your advisor.

Chris has no legal or disciplinary events to report.

Other Business-Related Activities

There is no other business activity to report.

Additional Compensation

Chris may receive additional compensation from the Firm for providing advisory services in limited circumstances, such as when new accounts are opened due to referrals from current clients or for increasing certain types of clients who hold accounts at the firm. Chris receives no economic benefit for providing advisory services from any third parties.

Supervision

Chris is supervised by Amin Dabit, Senior Vice President, Traditional Wealth Planning and his team, who can be reached at (720) 954-1967. Supervision is conducted in a variety of ways, including face to face meetings, telephone calls and the review of activity reports.