Edelman Financial Engines

Carlos Antonio Rodriguez

Director, Financial Planning

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This is a supplement to the Financial Engines Advisors, L.L.C. brochure. This supplement describes advisory services provided by Financial Engines Advisors L.L.C. also referred to as Edelman Financial Engines. Please contact us at (833) 752-6333 if you have any questions about our brochure or this supplement.

Educational Background and Experience

Year of Birth: 1983

Formal Education: Bachelor of Science in Finance and Real Estate, Florida State University
Certificate in Financial Planning, Florida State University

Business Background: Carlos has been with Edelman Financial Engines since 2012.

Employment History:

- **2012 - Present:** Director, Financial Planning at Edelman Financial Engines
- **2009 - 2012:** Investment Advisor/Director of Operations at Jack Patterson & Co
- **2005 - 2009:** Financial Advisor at Raymond James Financial Services

Professional Designation(s): CERTIFIED FINANCIAL PLANNER®, Registered Financial Consultant®, Certified in Blockchain and Digital Assets℠

Disciplinary Information

Like all Registered Investment Advisors, we are required to disclose all material facts regarding any legal or disciplinary events that could materially influence your evaluation of your advisor.

Carlos has no legal or disciplinary events to report.

Other Business-Related Activities

There is no other business activity to report.

Additional Compensation

Carlos may receive additional compensation from the Firm for providing advisory services in limited circumstances, such as when new accounts are opened due to referrals from current clients or for increasing certain types of clients who hold accounts at the firm. Carlos receives no economic benefit for providing advisory services from any third parties.

Supervision

Carlos is supervised by Amin Dabit, Senior Vice President, Traditional Wealth Planning and his team, who can be reached at (720) 954-1967. Supervision is conducted in a variety of ways, including face to face meetings, telephone calls and the review of activity reports.

Important Information About the CERTIFIED FINANCIAL PLANNER® (CFP®) Designation: Those with the CFP® certification have demonstrated competency in all areas of finance related to financial planning. Candidates for the CFP® mark must pass a certification exam administered by the Certified Financial Planner Board of Standards, Inc. that focuses on more than 100 topics of concern to the financial planning field. In addition to passing the CFP® exam, candidates must also complete qualifying work experience and agree to the CFP Board's Standards and Policies, which include CFP® Certification Requirements, Code of Ethics and Standards of Conduct, Fitness Standards for Candidates and Professionals Eligible for Reinstatement, Procedural Rules, Guide to Use of the CFP® Certification Marks, and Privacy Policy. Finally, certified CFP® professionals must complete 30 hours of continuing education every two years. Certified Financial Planner Board of Standards Inc. owns the marks CFP® certification and CERTIFIED FINANCIAL PLANNER® certification in the U.S., which it awards to individuals who successfully complete CFP Board's initial and ongoing certification requirements.

Important Information About the Registered Financial Consultant (RFC®) Designation: The RFC® is a professional designation awarded by the International Association of Registered Financial Consultants (IARFC) to those financial advisors who meet high standards of education, experience and integrity. Candidates must meet the following requirements: (1) undergraduate or graduate degree in business, finance, economics or a related field, or have earned one of the following designations: AAMS, CFA, CFP, ChFC, CLU, CPA, EA, JD, LUTCF; (2) Series 65, Series 6 & 63, Series 7 & 63 or Series 7 & 66 securities licenses and a life insurance license; and (3) four years of full-time experience as a financial planning practitioner in the field of financial planning or financial services. In addition, candidates must complete 40 hours of continuing education per year in personal finance and professional practice management and four hours of ethics every two years.

Important Information About the Certified in Blockchain and Digital Assets℠ (CBDA℠) Designation: The Certified in Blockchain and Digital Assets℠ (CBDA℠) designation is an online self-study program that provides financial professionals with essential knowledge and understanding of blockchain and digital assets, enabling them to advise clients on this asset class. The CBDA℠ designation is offered through the Digital Assets Council of Financial Professionals and candidates must achieve a score of 70% or higher on all modules to pass the designation program. After one year, designation holders are required to take an Update Course and pay a renewal fee to be able to continue using the CBDA℠ Designation.